





6. Uluslararası Bilgisayar Bilimleri ve Mühendisliği Konferansı

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UBMK'2021'e Hoşgeldiniz Welcome to UBMK'2021

Sevgili Katılımcılar:

UBMK uluslararası nitelikli konferans serisi, 1990 yılından beri düzenli olarak yapılmakta olan Bilgisayar Mühendisliği Bölüm Başkanları toplantılarında alınan bir kararla altı yıl önce başlamıştır. Konferansın 6.sı UBMK-2021 bu yıl 15-17 Eylül, 2021 tarihlerinde Gazi Üniversitesinin ev sahipliğinde düzenlemiştir. COVID-19 nedeniyle konferans bildirileri çevrimiçi sunulmuş, bazı sunumlar ve toplantılar yüz yüze yapılmıştır.

UBMK-2021 konferansına bu yıl Amerika Birleşik Devletleri, Filistin, Hindistan, Hollanda, İngiltere, Kazakistan, Kırım, KKTC, Novosibirsk, Rusya, Özbekistan, Sırbistan, Tataristan ve Türkiye'den yaklaşık 250 bildiri yollanmış ve bu bildiriler Türk ve yabancı 250 hakem tarafından değerlendirilmiştir.

Her bildiri iki hakem tarafından incelenmiş ve uzlaşma olmadığı durumlarda üçüncü bir hakemin değerlendirmesine başvurulmuştur. Bu değerlendirmelerin sonunda 155 bildirinin sözlü olarak sunulması uygun bulunmuştur. Kabul edilen ve sunulan bildiriler içerik ve kalite ölçünlerini sağlaması durumunda IEEE Xplore Digital Library'de yayımlanacaktır.

Konferans çalışmalarında, Bilgisayar Mühendisliği Bölüm Başkanları Danışma Kurulu olarak görev almışlardır. Bildirilerin değerlendirilmesi Bilim Kurulu üyeleri tarafından yapılmıştır. Konferansın düzenlenmesi ise Yürütme Kurulunun önerileri doğrultusunda, Düzenleme Kurulu tarafından yapılmıştır.

Son olarak, konferansın başarılı bir şekilde yürütülmesi için tüm olanaklarını sunan Gazi Üniversitesi Rektörü Sayın Prof. Dr. Musa Yıldız'a teşekkür ediyoruz.

Ayrıca Düzenleme Kuruluna, bildirileri titizlikle değerlendiren Bilim Kurulu Üyelerine ve değerli araştırmalarının sonuçlarını bilişim camiası ile paylaşan bildiri sahiplerine teşekkürlerimizi iletiriz.

Prof. Dr. Eşref ADALI UBMK-2021 Konferans Başkanı ve Bildiri Kitabı Editörü

Dear Participants:

The UBMK international conference series started six years ago with a decision taken at the Computer Engineering Department Heads (BMBB) meetings, which have been held regularly since 1990. The 6th edition of the conference, UBMK'21, was held this year on September 15-17, 2021, hosted by Gazi University. Due to COVID-19, conference papers were presented online, and some presentations and meetings were made face-to-face.

Approximately 250 papers from the United States of America, Crimea, India, England, Holland, Kazakhstan, Novosibirsk, Palestine, Russia, Sırbia, TRNC, Uzbekistan, Tatarstan, and Turkey were presented to the UBMK'21 conference this year, and these papers were evaluated by 250 Turkish and foreign referees.

Each paper was evaluated by two referees, and in cases where there was no consensus, a third referee was consulted. At the end of these evaluations, 155 papers were accepted for oral presentation. Accepted and presented papers will be submitted for inclusion into IEEE Xplore Digital Library subject to meeting the scope and quality requirements.

During the conference, Heads of Computer Engineering Departments took part in the Advisory Board. The evaluation of the papers was made by the members of the Scientific Committee. The conference was organized by the Organizing Committee in line with the recommendations of the Executive Committee Members.

Finally, we would like to thank Gazi University Rector Prof. Dr. Musa Yıldız for his continued support for the success of the conference. In addition, we would like to thank the members of Organizing Committee and the Scientific Committee, who carefully evaluated the papers, and the owners of the papers who shared the results of their valuable research with the informatics community.

Prof. Dr. Esref ADALI UBMK'21 Conference Chair and Proceedings Editor

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IEEE - UBMK - 2021 VI. Uluslararası Bilgisayar Bilimleri ve Mühendisliği Konferansı

Research of Cluster Analysis Methods for Group Solutions of the Pattern Recognition Problem

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Abstract — This paper proposes the study of cluster analysis methods for solving the problem of pattern recognition, including group solution methods. The study selected methods for solving the problem of cluster analysis based on a group solution with incomplete training information, investigated and developed models of group solutions based on existing known algorithms. The novelty of the work consists in a combination of algorithms for collective cluster analysis and nuclear classification methods. Numerical experiments on test problems and a real hyperspectral image demonstrate the effectiveness of the proposed method, including in the presence of noisy data.

Keywords — cluster analysis, pattern recognition, group solution, hyperspectral image

I. INTRODUCTION

In this paper one of the options for setting the pattern recognition problem is considered - the problem of semisupervised classification. In this problem, only for a part of the objects of the original sample, class labels are known; it is required to classify either existing unlabeled objects, or to form a decision rule for recognizing new objects. There are quite a large number of approaches and algorithms for solving the problem of partially controlled classification [1]. Currently, heuristic algorithms of self-training (Selftraining), probabilistic methods, transductive support vector machine (TSVM), as well as graph-theoretic algorithms (Graph Laplacian Regularization) [2-3] are widely used. This replacement has a number of reasons. First, it can be assumed that objects from a dense area (cluster) in the feature space are more likely to have common class labels, even if this area has a complex shape. From this point of view, such objects are more similar to each other than other points located at the same distance from each other, but from different clusters. Secondly, it is known that the averaged co-association matrix determines the semimetric in the observation space [4], which means that the frequencies of assigning pairs of objects to the same clusters can be considered as indicators of similarity between the corresponding points. In this case, the resulting matrix depends on the outputs of the clustering algorithms and is less dependent on random outliers than the usual similarity matrix. Involvement of a cluster ensemble makes it possible to increase the stability of the results of cluster analysis in the case of uncertainty in the data structure. Such uncertainty arises, for example, when the true number of clusters is unknown or uninformative, noisy features, complex data structures (for example, spiral or circular clusters) may exist.

Conceptually, an optimization model for the application of group solutions (cluster ensemble) is proposed in the following scheme. The basis of such a model is proposed in [5].

In Figure 1, through S₁, S₂, ..., S_t - the input data is designated, which can be represented both as numerical values and in the form of images. $A=\{A_1, A_2,..., A_t\}$ -sets of classification algorithms working on different principles, mnumber of algorithms. R₁, R₂,...,R_t are algorithms for group solutions (cluster ensemble). Each decision of these algorithms A. Further, $F=\{F_1, F_2,...,F_t\}$ denotes the quality (accuracy) criteria of algorithms of both the first level from A and the second level from z_c. The directions of the arrows in the model show the data stream being processed. As you can see from the figure, the optimization model is presented as a network model. You can get out of this model when you get a good result in the sense of the extreme value of the quality functionals.



Fig. 1. Hyperspectral image of the "National Academy of Sciences of Kazakhstan" (RGB composite) (a) and tagged data (b)

In Figure 1, through S_1 , S_2 , ..., S_t – the input data is designated, which can be represented both as numerical values and in the form of images. $A=\{A_1, A_2, ..., A_t\}$ -sets of classification algorithms working on different principles, mnumber of algorithms. $R_1, R_2, ..., R_t$ are algorithms for group solutions (cluster ensemble). Each decision of these algorithms makes decisions based on the results of a set of algorithms A. Further, $F=\{F_1, F_2, ..., F_t\}$ denotes the quality (accuracy) criteria of algorithms of both the first level from A and the second level from z_c . The directions of the arrows in the model show the data stream being processed. As you can see from the figure, the optimization model is presented as a network model. You can get out of this model when you get a good result in the sense of the extreme value of the quality functionals. In [6], the proposed approach to the construction of a neural network is not based on the traditional approach based on minimizing the functional; rather, it is based on the theory of operators developed by Zhuravlev to solve recognition and classification problems. A distinctive feature of this network is the use of diagonal activation functions in the inner layers, which greatly simplifies intermediate calculations in the inner and outer loops.

This paper proposes a partially supervised classification method. The idea of the proposed method is to use a low-rank representation of the averaged coassociation matrix to reduce the required memory and computational costs.

Further in the work, a mathematical formulation of the problem is given, a brief overview of the methods of partially controlled classification is made. The proposed modification is described and its experimental study is carried out.

II. MATHEMATICAL FORMULATION OF THE PARTIALLY CONTROLLED CLASSIFICATION PROBLEM

Let there be a general set of recognition objects X and a finite set of class labels Y. All objects are described by signs. The feature of an object is understood as the display $f: X \rightarrow D_r$, where D_r is a set of feature values

Depending on D, signs are divided into types:

- * Binary sign: D_f = {0,1}
- Quantitative sign: $D_r = R$
- * Nominal sign: D, finite set
- Ordinal sign: D_c a finite ordered set

With the specified attributes $f_1, ..., f_m$, the vector $x = (f_1(\alpha), ..., f_m(\alpha))$ is called the feature description of the object $\alpha \in X$. Next, we identify the object and its characteristic description. In the semi-supervised learning problem, a sample of $X_N = \{x_1, ..., x_N\}$ objects from X is input. There are two types of objects in this selection:

* X_c = {x₁,...,x_k} - marked up objects with the specified classes to which they belong: Y_c = {y₁,...,y_k}

X_u = {x_{k+1},..,x_N} – unmarked objects

In various versions of the problem statement, it is required either to conduct so-called inductive training – to build a classification algorithm $a: X \rightarrow Y$, which will, minimizing the probability of error, match classes to objects of their X_u , as well as new objects χ_{uw} , which were unavailable at the time of the algorithm construction, or it is required to conduct transduction training - to get class labels only for objects of X_u with minimal error. In this paper, the second variant of the problem statement is considered. Next, an example will be given that shows how semicontrolled learning differs from the classification with a teacher

III. MATRIX OF AVERAGED PAIRWISE DIFFERENCES

To construct a matrix of averaged pairwise differences, clustering of all available objects $X = \{x_1, ..., x_N\}$ is carried out by a team of various cluster analysis algorithms $\mu_1, ..., \mu_M$. Each algorithm gives L_m partitioning options, m = 1, ..., M. Based on the results of the algorithms, a matrix H of averaged pairwise differences of objects from X is compiled.

$$h(i, j) = \sum_{m=1}^{M} \alpha_m \frac{1}{L_m} \sum_{l=1}^{L_m} h_{lm}(i, j),$$
 (1)

where $i, j \in \{1, ..., N\}$ are object numbers $(i \neq j), \alpha_m \ge 0$ are given weights such that $\sum_{m=1}^{M} \alpha_m = 1; h_m(i, j) = 0$ if pair (i, j)belongs to different clusters in l partitioning variant obtained by algorithm μ_m and l if it belongs to the same cluster.

The weights α_m can be the same or, for example, can be adjusted in proportion to the clustering quality index. The optimal choice of weights is studied in.

Nuclear classification methods

To solve the classification problem, nuclear methods are widely used, which are based on the so-called. "Kernel trick". To demonstrate the essence of this "trick", consider the support vector machine (SVM) - the most popular kernel classification method. SVM is a binary classifier, although there are ways to improve it to implement multiclassification.

IV. THE PROPOSED METHOD

The idea of the method is to construct a similarity matrix (1) of all objects from the input sample χ . This matrix will be compiled by applying different clustering algorithms to χ . The more often a pair of objects falls into the same cluster, the more similar we will consider them to each other. Two possible options for predicting classes of unlabeled objects χ_u using a similarity matrix will be proposed. Further, the idea of the algorithm will be described in more detail. The following is true Theorem 1. Let $\mu_{1,...,\mu_M}$ be cluster analysis algorithms, each algorithm gives L_u partitioning options, m = 1,...,M, $h_{lu}(x,x') = 0$ if a pair of (x, x') objects belongs to different clusters in lpartitioning options obtained by algorithm μ_u and l if it belongs to the same cluster. $\alpha_u \ge 0$ - given weights such that

$$\sum_{m=1}^{M} \alpha_m = 1.$$
 Then the function $H(x, x') = \sum_{m=1}^{M} \alpha_m \pm \sum_{l=1}^{L_m} h_{lm}(x, x')$
satisfies the conditions of the Mercer theorem

Evidence. Obviously, the H(x, x') function is symmetric. Let C_r^{lm} be the set of indices of objects belonging to the *r* cluster obtained by the *m* algorithm in the *l* partitioning variant. Let us show that H(x, x') is nonnegative definite.

Take an arbitrary $z \in R^{p}$ and prove that $z^{T}Hz \ge 0$

$$z^{T}Hz = \sum_{i,j=1}^{p} \sum_{m=1}^{M} \alpha_{m} \frac{1}{\tau_{m}} \sum_{i=1}^{t_{m}} h_{im}(i,j)z_{i}z_{j} = \sum_{m=1}^{M} \alpha_{m} \frac{1}{\tau_{m}} \sum_{i=1}^{p} \sum_{i,j=1}^{p} h_{im}(i,j)z_{i}z_{j} = \sum_{m=1}^{M} \alpha_{m} \frac{1}{\tau_{m}} \sum_{i=1}^{p} \sum_{i=1}^{m} (\sum_{i,j=1}^{m} z_{i}z_{j} + ... + \sum_{i,j=1}^{m} z_{i}z_{j}) = \sum_{m=1}^{m} \alpha_{m} \frac{1}{\tau_{m}} \sum_{i=1}^{t_{m}} ((\sum_{i=1}^{m} z_{i})^{2} + ... + (\sum_{i=1}^{m} z_{i})^{2}) \ge 0.$$

Thus, function H(x, x') can be used as a kernel in kernel classification methods, in particular in support vector machine (SVM). Further, we propose two variants of the algorithm that implements the proposed approach: The

The CASVM algorithm:

Thus, the H(x, x') function can be used as a kernel in nuclear classification methods, in particular, in the support vector machine (SVM) method. Next, two variants of the algorithm that implements the proposed approach are proposed:

Input: objects x with the specified classes y and objects X_{a} , the number of clustering algorithms M, the number of clustering L by each algorithm $\mu_{-}, m = 1, ..., M$.

Output: object classes X .

1) Perform clustering of objects $X_c \cup X_u$ by cluster analysis algorithms $\mu_1, ..., \mu_M$, obtaining L_{μ} partitioning options from each algorithm $\mu_{m}, m = 1, ..., M$

2) Calculate the matrix H by $X \cup X$ using the formula (1).

3) Train the SVM on the marked-up data X, using the matrix H as the core.

4) Use SVM to predict classes for unmarked objects X ...

End of Algorithm

Note that in the proposed algorithms it is not required to store the entire matrix H of size $N \times N$ in memory; it is enough to store the clustering matrix of size N×L, where $L = \sum_{i=1}^{n} L_{i}$, in this case the matrix H can be calculated

dynamically. In applied tasks, as a rule, L << N, for example, when working with image pixels.

V. EXPERIMENTAL RESEARCH.

Numerical experiments were carried out with the developed algorithm, the purpose of which was to test its effectiveness in conditions of various data volumes and the presence of noise effects. The performance of the algorithm was determined using a real problem of hyperspectral image recognition.

Hyperspectral image analysis:

A typical RGB image contains three channels: saturation values for each of the three colors. In some cases, this is not enough to obtain complete information about the characteristics of the subject being shot. To obtain data on the properties of objects indistinguishable by the human eye, hyperspectral imaging is used.

For the experimental study of the developed algorithm, a hyperspectral image of the "National Academy of Sciences of Kazakhstan" with a size of 145 by 145 pixels, which contains 224 spectral channels in the range of 400-2500 nm, was used. Figure 2(a) shows the RGB composite of the image, and Figure 2 (b) shows the reference division of the image into 5 thematic classes. There are unmarked pixels in the image that are not assigned to any of the classes. These pixels were excluded from consideration during the analysis.

In the experiment, the marked part was 1% of the points selected randomly for each component. In order to reduce the effect of correlation of spectral channels, 5 new features were previously formed from the initial data using the principal component method.

The generated data table was fed to the input of the algorithm. The size of the ensemble was equal to r = 10, and different variants of the partition were obtained by varying the number of clusters in the interval [1000, 1000 + r].



Fig. 2. Hyperspectral image of the "National Academy of Sciences of Kazakhstan* (RGB composite) (a) and tagged data (b)

a)

A comparison was made with the SVM support vector machine using the RBF kernel (the algorithm parameters recommended by default were selected).

In an experimental study of the algorithm, 1% of the pixels selected at random for each class constituted a labeled sample; the rest were included in the unlabeled part. To study the effect of noise on the performance of the algorithm, randomly selected r % of the spectral brightness values of pixels in different channels were subjected to a distorting effect: the corresponding value was replaced by a chosen at random from the value interval [x(1-p), x(1+p)], where r, p are the specified parameters. A noisy data table containing the values of the spectral brightness of pixels for all channels was fed to the input of the CASVM algorithm, in which the K-means algorithm was chosen as the basic algorithm for constructing the cluster ensemble. Different variants of the partition were obtained by varying the number of clusters in the interval [30,30+L], where L was equal to 120. In addition, to construct each variant of the solution, two channels were randomly selected. To speed up the operation of the Kmeans algorithm and obtain more diverse grouping options, the number of its iterations was limited to 1.

As you can see from the table, the CASVM algorithm is more robust to noise than the SVM algorithm.

TABLE I. ACCURACY OF GROUP DECISION AND SVM ALGORITHMS FOR DIFFERENT VALUES OF NOISE PARAMETERS 39000

Noise parameters r, p	0%, 0	10%, 0.1	20%, 0.2	30%, 0.3
CASVM	0.76	0.75	0.73	0.72
SVM	0.68	0.66	0.62	0.60

The paper considers one of the options for setting the pattern recognition problem - the task of semi-supervised learning. CASVM algorithms have been developed to solve this problem. They are based on a combination of collective cluster analysis and nuclear classification methods. An experimental study of the proposed algorithm on a hyperspectral image has been carried out. It is shown that the CASVM algorithm is more robust to noise than the standard support vector machine SVM.

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